Legal Department, DL429 Intellectual Property Administration P. O. Box 7599 Loveland, Colorado 80537-0599

ATTORNEY DOCKET NO. ___10010875-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Seongsin Kim; Wilson H. Widjaja; Suning Xie Serial No.: **Not Yet Assigned** Examiner: Davie, James W. Filing Date: 7/14/2003 Group Art Unit: 2828 Title: Moisture Passivated Planar Index-Guided VCSEL **COMMISSIONER FOR PATENTS** PO Box 1450 Alexandria, VA 22313-1450 PRELIMINARY AMENDMENT Sir: Please amend the above-identified application as follows: Page 1, line 1, insert the following new paragraph: () This is a Continuation of copending application Serial No. , filed on , the entire disclosure of which is incorporated herein by reference. This is a Divisional of copending application Serial No. 10/013,108, filed on (x) 12/07/2001 , the entire disclosure of which is incorporated herein by reference. In the Claims: (X) Cancel the following claims 1-11 of the prior application. (At least one original claim must be retained for filing purposes). "Express Mail" label no. EK176606464US Respectfully submitted, Seongsin Kim et al Date of Deposit 7/14/2003 I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, PO Box 1450, Commissioner for Patents, Alexandria, VA 22313-1450. Box 1450, Attorney/Agent for Applicant(s) Reg. No. 36,184 mulah Typed Name: Ruma Easwar

Telephone No.: (408) 553-3059